

DEFERRED DEPOSIT LENDER SUPPLEMENTAL INFORMATION FORM

STATE OF UTAH Department of Financial Institutions

324 South State Street, Suite 201, SLC, UT 84111

Mailing Address: P.O. Box 146800, Salt Lake City, UT 84114-6800
www.dfi.utah.gov Fax: (801) 538-8894 Tel: (801) 538-8830

1) Principal Business Office Address

Do Not Write In This Space

If you extend a deferred deposit loan, in Utah or with Utah residents, you are subject to the Check Cashing and Deferred Deposit Lending Registration Act, codified as Title 7 Chapter 23. You are exempt from this act if you are a depository institution, a depository institution holding company or directly or indirectly owned or controlled by a depository institution or depository institution holding company; or a person that cashes a check in a transaction that is incidental to the retail sale of goods or services and for consideration that does not exceed the greater of 1% of the amount of the check or \$1.

REGISTRATION FEE AND BUREAU OF CRIMINAL IDENTIFICATION (BCI) CERTIFICATE

Complete all information on this form and return it to the Department of Financial Institutions at the above address and register with the National Mortgage Licensing System (NMLS) through their online registration system (mortgage.nationwidelicensingsystem.org). Submit the registration fee payment to NMLS.

If not previously submitted, a BCI certificate must be submitted to the Department for officers, directors, managers, operators, (one who has any part in extending a loan). For Utah residents the BCI telephone number is (801) 965-4445. Residents of other states should obtain a criminal history review from their state government.

- 2) Name registered with the Utah Division of Corporations _____
- 3) Submit a copy of the Certificate of Existence issued by the Department of Commerce, Division of Corporations (corporations.utah.gov). The Certificate of Existence may be emailed to dfi@utah.gov.
- 4) Name of check cashing or deferred deposit lender business _____
- 5) Employer Identification number (EIN) _____
- 6) Submit a Consumer Credit Notification form (www.dfi.utah.gov/ConNote.htm)
- 7) On a separate page list addresses, phone numbers, and hours of operations for all offices in Utah (no mobile facilities allowed). Any office additions or deletions require prompt notification to the Department.
- 8) Name of person responsible for complaint resolution and examination findings response _____
- Address, City, State, Zip _____ Telephone _____
- Email Address _____ Fax Number _____
- 9) Please email an Adobe Acrobat pdf or Microsoft Word doc copy of your Anti-Money Laundering (AML) policy to dfi@utah.gov
- 10) Name of person responsible for AML compliance _____ Telephone _____